



DOCKET NO.: CELG-0402

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

**Bruce A. Williams, et al.**

**Confirmation No.: Not Yet Assigned**

**Application No.: 10/762,880**

**Group Art Unit: Not Yet Assigned**

**Filing Date: January 22, 2004**

**Examiner: Not Yet Assigned**

**For: METHODS FOR DELIVERING A DRUG TO A PATIENT WHILE  
PREVENTING THE EXPOSURE OF A FOETUS OR OTHER  
CONTRAINDEDICATED INDIVIDUAL TO THE DRUG**

DATE OF DEPOSIT: *March 19, 2004*

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
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TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA,  
VA 22313-1450.

TYPED NAME: Elizabeth A. McLoud

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with  the first or  second After Final Submission, therefore:
  - Certification in Accordance with § 1.97(e) is attached; or
  - The fee of \$180.00 as set forth in § 1.17(p) is attached.
- In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:
  - Certification in Accordance with § 1.97(e) is attached; or
  - The fee of \$180.00 as set forth in § 1.17(p) is attached.
- In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).
- Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

Copies of references listed on the attached Form PTO-1449 are enclosed herewith

Copies of references listed on the attached Form PTO 1449 are not required to be submitted pursuant to the June 30, 2003 recent revisions to 37 CFR § 1.98(a)(2)(i).

EXCEPT THAT:

In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:

Copies of references **1-12** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. **09/694,127**, filed **October 23, 2000** now U.S. Patent No. **6,315,720**; copies of references **13-17** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. **09/965,155**, filed **September 27, 2001** now U.S. Patent No. **6,561,977**.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

The relevance of those listed references which are not in the English language is as follows:

There are no listed references which are not in the English language.

Date: 3/18/04

Angela Verrecchio  
Angela Verrecchio  
Registration No. 54,510

WOODCOCK WASHBURN LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439



<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office		Docket No. CELG-0402	Application No. 10/762,880
		Applicant Bruce A. Williams, et al.	
		Filing Date January 22, 2004	Group Not Yet Assigned
		Confirmation No. Not Yet Assigned	

**U. S. PATENT DOCUMENTS**

Examiner Initial	Document No.	Date	Name	Class	Subclass
1	5,299,121	03/20/94	Brill, et al.	600	301
2	5,594,637	01/14/97	Eisenberg, et al.	600	300
3	5,619,991	04/15/97	Sloane	600	300
4	5,660,176	08/26/97	Iliff	600	300
5	5,845,255	12/01/98	Mayaud	705	3
6	6,014,631	01/11/00	Teagarden, et al.	705	3
7	6,045,501	04/04/00	Elsayed, et al.	600	300
8	6,055,507	04/25/00	Cunningham	705	3
19	6,063,026	05/16/00	Schauss, et al.	600	300
10	6,128,620	10/03/00	Pissanos, et al.	707	102
11	6,131,090	10/10/00	Basso, Jr., et al.	706	23
12	6,202,923	03/20/01	Boyer, et al.	235	375
13	5,974,203	10/26/99	Tadokoro, et al.	382	309
14	6,315,720 B1	11/13/01	Williams, et al.	600	300

**EXAMINER**

**DATE CONSIDERED**

<b>Form PTO-1449 Modified</b>	Docket No. CELG-0402	Application No. 10/762,880
<p><b>List of Patent and Publications Cited by Applicant</b> (Use several sheets if necessary)</p> <p>U.S. Department of Commerce Patent and Trademark Office</p>	<p><b>Applicant</b> Bruce A. Williams, et al.</p>	
	Filing Date January 22, 2004	Group Not Yet Assigned
	Confirmation No. Not Yet Assigned	

## **U. S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	15	WO 98/13783	04/02/98	PCT		
	16	WO 99/10829	03/04/99	PCT		
	17	WO 00/51053	08/31/00	PCT		

## **EXAMINER**

**DATE CONSIDERED**